



COMMISSIONER OF PATENTS AND TRADEMARKS

Washington, D.C. 20231

# FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) Sheet 1 of 2

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In the Application of RAPPUOLI et al.

Serial No.: 09/674,183

Art Unit: 1645

Filed: November 14, 2000

Examiner: S. Devi

Title: POLYEPITOPE CARRIER PROTEINS

# **U.S. PATENT DOCUMENTS**

Exam. Init.	Ref. Desig.	Document No.	Date	Name	Class	Sub Class	Filing Date
50	AA-1	4,882,317	November 21, 1989	Marburg, et al.	514	54	
50	AB-1	4,902,506	February 20, 1990	Anderson, et al.	424	92	/

### FOREIGN PATENT DOCUMENTS

Exam. Init.	Ref. Desig.	Document No.	Publication Date	Country or Patent Office	Class	Sub Class	Trans YES	lation NO
439	AC-1	EP 0 429 816 A	June 5, 1991	PCT				
97	AD-1	WO 96/03144	February 8, 1996	PCT				
579	AE-1	WO 98/43677	October 8, 1998	PCT				

# OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

Exam. Init.	Ref. Desig.	Description
59	AF-1	AN L.L. et al., "A multivalent minigene vaccine, containing B-cell, cytotoxic T-lymphocyte and Th epitopes from several microbes, induces appropriate responses in vivo and confers protection against more than one pathogen," <i>Journal of Virology</i> , 71(3)2292-2302 (1997)

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Atty Dkt No. PP00362.102 2302-0362

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Art Unit: 1645

Filed: November 14, 2000

Examiner: S. Devi

Title: POLYEPITOPE CARRIER PROTEINS

Exam. Init.	Ref. Desig.	Description
579	AG-1	Christodoulides M. et al., "Immunization with a multiple antigen peptide containing defined B- and T-cell epitopes: production of bactericidal antibodies against group B Neisseria meningitidis," <i>Microbiology</i> , <u>140(</u> 11)2951-2960(1994)
50	AH-1	De Velasco E. A. et al., "Synthetic peptides representing T-cell epitopes act as carriers in pneumococcal polysaccharide conjugate vaccines," <i>Infection and Immunity</i> , 63(3)961-968(1995)
57	Al-1	Paradiso, Peter R. et al., "Novel approaches to the development of glycoconjugate vaccines with synthetic peptides as carriers," <i>Vaccine Res.</i> , 2(4):239-48(1993)
5)	AJ-1	Thomson S. A. et al.,"Targeting a polyepitope protein incorporating multiple class II-restricted viral epitopes to the secretory/endocytic pathway facilitates immune recognition by CD4+ cytotoxic T lymphocytes: a novel approach to vaccine design," <i>Journal of Virology</i> , 72 (3):2246-2252 (1998)

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# U.S. PATENT DOCUMENTS

	Exam. Init.	Ref. Desig.	Document No.	Date	Name .	Class	Sub Class	Filing Date
	4D	A1	4,695,624	22 September 1987	Marburg et al.	530	395	
-[		-A2	4,882,317	2T November 1989	Marburg et al.			

# FOREIGN PATENT DOCUMENTS

Exam. Init.	Ref. Desig.	Document No.	Publication Date	Country or Patent Office	Class	Sub Class	Trans YES	lation NO
	B1							

# OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

Exam. Init.	Ref. Desig.	Description
49	C1	Agadjanyan et al.,"Peptide mimicry of carbohydrate epitopes on human immunodeficiency virus", <i>Nature Biotechnol</i> , <u>15</u> :547-551, (1997)

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Title: POLYEPITOPE CARRIER PROTEINS

Exam. Init.	Ref. Desig.	Description
57	C2	Ahlers et al., "Construction of an HIV-1 Peptide Vaccine Containing a Multideterminant Helper Peptide Linked to a V3 Loop Peptide 18 Inducing Strong Neutralizing Antibody Responses in Mice of Multiple MHC Haplotypes after Two Immunizations", <i>J. of Immun.</i> , 150(12),5647-5665,(1993).
	СЗ	Andreoni et al., "Vaccination and the Role of Capsular Polysaccharide Antibody in Prevention of Recurrent Meningococcal Disease in Late Complement Component-Deficient Individuals", J. Infect. Dis., 168:227-231, (1993).
	C4	Anderson et al., "Antibody Responses to <i>Haemophilus influenzae</i> Type b and Diphtheria Toxin Induced by Conjugates of Oligosaccharides of the Type b Capsule with the Nontoxic Protein CRM", <i>Infect. Immun.</i> , 39(1):233-238,(1983).
	C5	Anderson et al., "Immunization of 2-month-old infants with protein-coupled oligosaccharides derived from the capsule of Haemophilus influenzae type b", <i>J. Pediatrics</i> , 107:346-351, (1985).
	C6	Anderson et al., "Immunogens Consisting of Oligosaccharides from the Capsule of <i>Haemophilus influenzae</i> Type b Coupled to Diphtheria Toxoid or the Toxin Protein CRM197", <i>J. Clin. Invest.</i> , 76:52-59, (1985).
	C7	Bixler et al., "Synthetic Peptide Representing a T-Cell Epitope of CRM197 Substitutes as Carrier Molecule in a Haemophilus Influenzae Type b (HIB) Conjugate Vaccine", Advances in Exper. Med. Bio.,251:175-180,(1989).
	C8	Chang et al., "Anergy in Immature B Lymphocytes Differential Responses to Receptor-Mediated Stimulation and T Helper Cells", <i>J. Immun.</i> , 147(3), 750-756, (1991).
77	С9	Constantino et al., "Development and phase 1 clinical testing of a conjugate vaccine against meningococcus A and C", <i>Vaccine</i> , 10(10), 691-698, (1992).

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Exam. Ir	nit. Ref. Desig.	Description
579	C10	Dick et al., "Glycoconjugates of Bacterial Carbohydrate Antigens", <i>Conjugate Vaccines</i> , 10:48-114, (1989).
	C11	Etlinger et al., "Use of Prior Vaccinations for the Development of New Vaccines", Science, 249:423-425, (1990).
	C12	Goldblatt et al., "Role of Cell Wall Polysaccharide in the Assessment of IgG Antibodies to the Capsular Polysaccharides of <i>Streptococcus pneumoniae</i> in Childhood", <i>J. Infect. Dis.</i> , 166:632-634, (1992).
	C13	Good et al., "Construction of Synthetic Immunogen: Use of New T-Helper Epitope on Malaria Circumsporozoite Protein", <i>Science</i> , 235:1059-1062, (1987).
	C14	Grandoff et al., "Effect of immunity to the carrier protein on antibody responses to Haemophilus influenzae type b conjugate vaccines", Vaccine,11(1):546-551, (1993).
	C15	Grandoff et al., "Induction of Immunologic Memory in Infants Primed with Haemophilus influenzae Type b Conjugate Vaccines", <i>J. Infect. Dis.</i> , 168:663-671, (1993).
	C16	Hayward et al., "Induction of Plasma Cell Differentiation of Human Fetal Lymphocytes: Evidence For Functional Immaturity of t and b Cells", <i>J. Immun.</i> , 119(4):1213-1217, (1977).
	C17	Holmes et al., "The Biology of <i>Haemophilus influenzae</i> Type b Vaccination Failure", <i>J. Infect. Dis.</i> , <u>165</u> :5121-5128, (1992).
	C18	Insel et al., "Oligosaccharide-Protein Conjugate Vaccines Induce and Prime for Oligoclonal IgC Antibody Responses to the <i>Haemophilus influenzae</i> b Capsular Polysaccharide in Human Infants", <i>J. Exp. Med.</i> , <u>163</u> :262-269, (1986).
47	C19	Ishioka et al., "MHC Interaction and T Cell Recognition of Carbohydrates and Glycopeptides", <i>J. Immun.</i> , 148:2446-2451, (1992).

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Examiner: S. Devi

Title: POLYEPITOPE CARRIER PROTEINS

Exam. I	nit. Ref. Desig.	Description
47	C20	Jennings et al., "Immunochemistry of Groups A, B, and C Meningococcal Polysaccharide- Tetanus Toxiod Conjugates", <i>J. Immun.</i> , 127:1011-1018, (1981).
	C21	Kaliyaperumal et al., "Carrier-induced epitope-specific regulation and its bypass in a protein-protein conjugate", <i>Eur. J. Immunol.</i> , 25:3375-3380, (1995).
	C22	Konadu et al., "Synthesis, Characterization, and Immunological Properties in Mice of Conjugates Composed of Detoxified Lipopolysaccharide of <i>Salmonella paratyphi</i> A Bound to Tetanus Toxoid, with Emphasis on the Role of <i>O</i> Acetyls", <i>Infect. Immun.</i> , 64(7):2709-2715, (1996).
	C23	Konen-Waisman et al., "Self and Foreign 60-Kilodalton Heat Shock Protein T Cell Epitope Peptides Serve As Immunogenic Carriers for a T Cell-Independent Sugar Antigen", <i>J. Immunol.</i> , <u>154</u> :5977-5985, (1995).
	C24	Kumar et al., ""Universal" T Helper Cell Determinants Enhance Immunogenicity of a <i>Plasmodium falciparum</i> Merozoite Surface Antigen Peptide", <i>j. Immunol.</i> , <u>148</u> :1499-1505, (1992).
	C25	Leclerc et al., "A synthetic vaccine constructed by copolymerization of B and T cell determinants", <i>Eur. J. Immunol.</i> , <u>17</u> :269-273, (1987).
	C26	Lett et al., "Immunogenicity of Polysaccharides Conjugated to Peptides Containing T- and B-Cell Epitopes", <i>Infect. Immun.</i> ,62(3):785-792, (1994).
\$\frac{\frac}}}}}}}{\frac{\frac{\frac{\frac{\frac{\frac{\frac{\frac{\frac}}}}}}}{\frac{\frac{\frac{\frac{\frac{\frac{\frac{\frac{\frac{\frac}}}}}}{\frac{\frac{\frac{\frac{\frac{\frac{\frac{\frac{\frac{\frac}}}}}}}}{\frac}}}}}}}{\frac{\frac{\frac{\frac{\frac{\frac{\frac{\frac{\frac{\frac}}}}}}}{\frac{\frac{\frac{\frac{\frac{\frac{\frac{\frac{\frac{\frac{\frac{\frac{\frac{\frac{\frac	C27	Liptak et al., "Decline of pediatric admissions with <i>Haemophilus influenzae</i> type b in New York State, 1982 through 1993: Relation to immunizations", <i>J. Pediatrics</i> , 130:923-930, (1997).

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Sheet <u>5</u> of <u>6</u>

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Title: POLYEPITOPE CARRIER PROTEINS

Exam. Init.	Ref. Desig.	Description
SD	C28 ·	Lucas et al., "Functional Differences in Idiotypically Defined IgG1 Anti-Polysaccharide Antibodies Elicited by Vaccination with Haemophilus influenzae Type B Polysaccharide-Protine Conjugates", <i>J. Immunol.</i> , 154:4195-4202, (1995).
	C29	McNamara et al., "Monoclonal Idiotope Vaccine Against Streptococcus pneumoniae Infection", Science, 226:1325-1326, (1984).
	C30	Marburg et al., "Bimolecular Chemistry of Macromolecules: Synthesis of Bacterial Polysaccharide Conjugates with <i>Neisseria meningitidis</i> Membrane Protein", <i>J. Am. Chem.</i> , 108:5282-5287, (1986).
	C31	Moxon et al., "The Role of Bacterial Polysaccharide Capsules as Virulence Factors", <i>Curr. Top. Microbiol. Immunol.</i> , 150:65-85, (1990).
	C32	Panina-Bordignon et al., "Universally immunogenic T cell epitopes: promiscuous binding to human MHC class II and promiscuous recognition by T cells", <i>Eur. J. Immunol.</i> ,19:2237-2242, (1989).
	C33	Robbins et al., "Prevention of Systemic Infections, Especially Meningitis, Caused by Haemophilus influenzae Type b", JAMA,276:1181-1185, (1996).
	C34	Sad et al., "Bypass of carrier-induced epitope-specific suppression using a T-helper epitope", Immunol.,76:599-603, (1992).
	C35	Santosham M., "Prevention of <i>Haemophilus influenzae</i> type b disease", <i>Vaccine</i> , 11(1):552-557, (1993).
97	C36	Schneerson et al., "Preparation, Characterization, and Immunogenicity of Haemophilus Influenzae Type b Polysaccharide-Protein Conjugates", <i>J. Exp. Med.</i> , 152:361-376, (1980).

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Exam. Init.	Ref. Desig.	Description
57	C37 Schneerson et al., "Quantitative and Qualitative Analyses of Serum Antibodies Adults by <i>Haemophilus influenzae</i> Type b and Pneumococcus Type 6A Capsul Polysaccharide-Tetanus Toxoid Conjugates", <i>Inf. Immun.</i> , 52(2):519-528, (1986)	
	C38	Sidman et al, "Receptor-mediated inactivation of early B lymphocytes", <i>Nature</i> , <u>257</u> :149-151, (1975).
	C39	Tunkel et al., "Pathogenesis and Pathophysiology of Bacterial Meningitis", <i>Clin. Microbio.</i> , <u>6</u> (2):118-136, (1993).
47 97	C40	Valmori et al., "Use of Human Universally Antigenic Tetanus Toxin T Cell Epitopes as Carriers for Human Vaccination", <i>J. Immunol.</i> ,149:717-721, (1992).

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